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ATTORNEY DOCKET NO.: 12038

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Thomas L. BASCOM, et al.

Confirmation No.: 7770

Application No.: 10/050,515

Examiner: R. Stevens

Filing Date: January 18, 2002

Group Art Unit: 2176

Title: A SYSTEM AND METHOD FOR COLLECTING, STORING, MANAGING AND PROVIDING
CATEGORIZED INFORMATION RELATED TO A DOCUMENT OBJECT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is Submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☒ a \$180.00 fee under 37 CFR 1.17(p), or
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-2849** the sum of \$ **180.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-2849** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

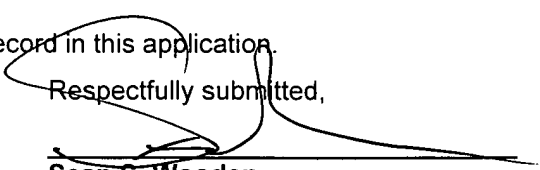
It is requested that the information disclosed herein be made of record in this application.

08/10/2005 SZWDIE1 00000110 502849 10050515

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Respectfully submitted,


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Reg. No. 43,997

Date: **August 9, 2005**



PATENT APPLICATION

Sheet 1 of 1

FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY. DOCKET NO. 12038	APPLICATION NO. 10/050,515	CONFIRMATION NO. 7770
				APPLICANT Thomas L. BASCOM, et al.		
				FILING DATE 1/18/2002	GROUP 2176	
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear	
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					
	1L					
	1M					
	1N					
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1O					<input type="checkbox"/>
	1P					<input type="checkbox"/>
	1Q					<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	Goldfarb, Charles F., et al., "The XML Handbook", Third Edition, Prentice Hall PTR, Upper Saddle River, New Jersey, (selected portions/pages only) 2001.				
	1S					
	1T					
EXAMINER				DATE CONSIDERED		

Rev 4/04 (PTO 1449)